

Circular No.: NSDL/POLICY/2015/0001

Date: January 1, 2015

Subject: SEBI's instructions relating to consolidated UN List of individuals and entities linked to AI-Qaida.

Attention of all Participants is invited to Circular No. NSDL/POLICY/2009/0101 dated October 29, 2009 (enclosing copy of SEBI Circular No. ISD/AML/CIR-2/2009 dated October 23, 2009), wherein lists of individuals and entities linked to AI-Qaida and Taliban were provided. SEBI vide its email dated December 16, 2014 has informed about the changes made in the AI-Qaida Sanctions list. The updated lists are available on the UN website - http://www.un.org/sc/committees/1267/aq_sanctions_list.shtml.

Participants are advised to take note of the above and ensure compliance.

For and on behalf of
National Securities Depository Limited

Samar Banwat
Senior Vice President

| FORTHCOMING COMPLIANCE | | | |
|------------------------------------------|---------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------|
| Particulars | Deadline | Manner of sending | Reference |
| Investor Grievance Report (Monthly) | By 10 th of the following month. | By email at dp_igreport@nsdl.co.in Alternatively, by hard copy to the Investor Relationship Cell. | 1. Circular No. NSDL/PI/98/500 dated October 6, 1998. 2. Circular No. NSDL/PI/2004/1688 dated September 16, 2004. |
| Compliance Certificate (July - December) | January 31st every year. | Digitally signed compliance certificate by email at nsdl-bp-inspection@nsdl.co.in Alternatively, by hard copy to Inspection Department. | 1. Circular No. NSDL/ POLICY/2006/0023 dated July 6, 2006 and Circular No. NSDL/POLICY/2010/0017 dated February 22, 2010. |

